

## RATHBONE BROTHERS PLC ('Rathbones')

### RESULTS OF THE 2021 ANNUAL GENERAL MEETING NOTIFICATION REQUIRED BY LISTING RULE 9.6.18

At the fiftieth Annual General Meeting of the Company held at 8 Finsbury Circus, London, EC2M 7AZ on Thursday 6 May 2021, all the resolutions proposed (which were contained in the Notice of Meeting dated 6 April 2021) were passed on a poll.

Resolutions 1 to 16 were ordinary resolutions and resolutions 17 to 20 were special resolutions.

The full text of the resolutions is set out in the Notice of Annual General Meeting, a copy of which is on the Company's website at [www.rathbones.com/investor-relations/corporate-governance/general-meetings](http://www.rathbones.com/investor-relations/corporate-governance/general-meetings). A copy has also been made available for inspection through the Financial Conduct Authority via the National Storage Mechanism which can be found at <https://data.fca.org.uk/#/nsm/nationalstoragemechanism>.

The results of the poll were as follows:

	Resolution	For	%	Against	%	Votes cast	Votes cast as a % of the voting capital	Withheld
1	To adopt the report and accounts for the year ended 31 December 2020	44,536,870	100.00	83	0.00	44,536,953	76.21%	117,843
2	To approve the directors' remuneration report for the year ended 31 December 2020	44,313,656	99.96	17,439	0.04	44,331,095	75.86%	323,701
3	To approve the directors' remuneration policy	39,752,472	89.68	4,576,369	10.32	44,328,841	75.86%	325,955
4	To approve the Rathbone Brothers Plc Executive Share Performance Plan ('ESPP')	40,308,825	90.93	4,020,316	9.07	44,329,141	75.86%	325,655
5	To declare a final dividend of 47p per share for the year ended 31 December 2020	44,635,079	99.98	7,417	0.02	44,642,496	76.39%	12,300
6	To elect Clive Bannister as a director	44,633,607	99.99	3,392	0.01	44,636,999	76.38%	17,797
7	To re-elect Paul Stockton as a director	44,638,380	99.99	3,643	0.01	44,642,023	76.39%	12,773
8	To re-elect Jennifer Mathias as a director	44,615,050	99.95	22,538	0.05	44,637,588	76.38%	17,208
9	To re-elect Colin Clark as a director	41,333,009	92.62	3,292,237	7.38	44,625,246	76.36%	29,550
10	To re-elect James Dean as a director	44,489,668	99.81	83,923	0.19	44,573,591	76.28%	81,205
11	To re-elect Terri Duhon as a director	41,331,154	92.62	3,292,154	7.38	44,623,308	76.36%	31,488

12	To re-elect Sarah Gentleman as a director	40,663,645	91.13	3,959,663	8.87	44,623,308	76.36%	31,488
13	To re-appoint Deloitte LLP as auditors of the company	44,326,688	99.30	311,447	0.70	44,638,135	76.39%	16,661
14	To authorise the audit committee to agree the remuneration of the auditors	44,314,504	99.27	323,630	0.73	44,638,134	76.39%	16,662
15	To approve an authority to make political donations and to incur political expenditure	43,783,614	98.11	842,747	1.89	44,626,361	76.37%	28,435
16	To approve a general authority to allot ordinary shares	41,241,323	92.39	3,398,945	7.61	44,640,268	76.39%	14,528
17	To authorise the disapplication of pre-emption rights	44,512,211	99.74	114,969	0.26	44,627,180	76.37%	27,616
18	To further authorise the disapplication of pre-emption rights regarding shares issued in connection with an acquisition or capital investment	40,205,521	90.10	4,420,145	9.90	44,625,666	76.36%	29,130
19	To authorise market purchases of ordinary shares	44,291,600	99.25	333,996	0.75	44,625,596	76.36%	29,200
20	To authorise the convening of a general meeting (other than the AGM) of not less than 14 days' notice	40,581,268	90.91	4,059,415	9.09	44,640,683	76.39%	14,113

For the purposes of section 341 of the Companies Act 2006, the votes cast are expressed as a percentage of 58,437,697 ordinary shares. A vote “withheld” is not a vote in law and has not been counted as a vote “for” or “against” a resolution. In accordance with Listing Rule 9.6.2, a copy of the resolutions passed at today’s AGM will be submitted to the Financial Conduct Authority via the National Storage Mechanism.

**Ali Johnson**

**Company Secretary**

Email: [CompanySecretariat@rathbones.com](mailto:CompanySecretariat@rathbones.com)

Tel: 07901 118456